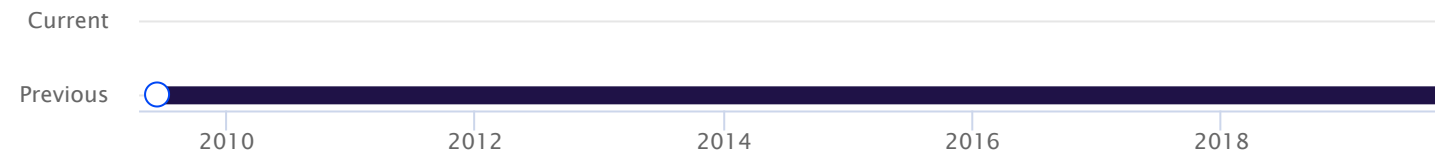


# NATHAN ROGERS

First provided advice:	2003
Name:	NATHAN ROGERS
Number: ?	000338230
Other role: ?	<u>Authorised Representative</u>
ABN:	-
Financial adviser status: ?	Current
Registration status: ?	Registered

## Appointment timeline

Summary of financial adviser's appointments. See below for further details.



### Current appointment(s)

Financial adviser's current appointment details, including product areas the financial adviser can provide advice on. For business name details, click on the Australian Business Register (ABN).

8/4/2025 - ongoing

**Appointment type** ?  
Financial Adviser

**Principal place of business**  
102A Charlesworth St  
Ballarat VIC 3350

## RI ADVICE GROUP PTY LTD (licence holder) ?

Number: 000238429

ABN: 23 001 774 125

Controlled by: ? RHOMBUS ADVISORY PTY LTD

### NATHAN ROGERS can advise on the following product areas

- Provide financial product advice
  - Deposit and Payment Products
    - Deposit and Payment Products - Non-basic Deposit Products
  - Life Products
    - Investment Life Insurance Products
    - Life Risk Insurance Products
  - Managed Investment Schemes
    - Managed Investment Schemes, including IDPS
  - Retirement Savings Account Products
    - Retirement Savings Account Products
  - Superannuation
    - Superannuation - All

### Restrictions

Superannuation: Not authorised to provide SMSF advice.

Can provide tax (financial) advice services: Yes

## Previous appointment(s)

This section provides the financial adviser's appointment history back to March 2010. For business name details, click on the ABN to go to the Australian business register and view any related business names.

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**9/10/2023 - 7/4/2025**

**CAPSTONE FINANCIAL PLANNING PTY LTD (licence holder) ?**

**Number:** 000223135  
**ABN:** 24 093 733 969  
**Controlled by:** ? -

### **Authorised representative** ?

**Name:** CAPSTONE FINANCIAL SERVICES PTY LTD  
**Number:** 000305345  
**ABN:** 50 120 846 746

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**1/6/2021 - 6/9/2023**

### **CONSULTUM FINANCIAL ADVISERS PTY LTD (licence holder)** ?

**Number:** 000230323  
**ABN:** 65 006 373 995  
**Controlled by:** ? RHOMBUS ADVISORY PTY LTD

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**15/6/2009 - 31/5/2021**

### **GWM ADVISER SERVICES PTY LTD (licence holder)** ?

**Number:** 000230692  
**ABN:** 96 002 071 749  
**Controlled by:** ? -

## **Disciplinary actions** ?

ASIC banning and disqualifications orders, actions taken by the FSCP and any enforceable undertaking accepted by ASIC and/or the FSCP from the financial adviser. Certain actions taken by a FSCP against an adviser for the first time will not be displayed.

No banning or disqualifications recorded.

## Qualifications and training

This section shows which qualifications or training courses the adviser has completed that are relevant to providing financial services.

**Year:** 2020  
**Name:** FPC002B Ethics and Professionalism in Financial Advice  
**Type:** Bridging Course - Ethics  
**Provider:** Kaplan Higher Education

**Year:** 2015  
**Name:** Certified Financial Planner  
**Type:** Professional Designations  
**Provider:** Financial Planning Association of Australia (FPA)

**Year:** 2006  
**Name:** Advanced Diploma of Financial Services (Financial Planning)  
**Type:** Advanced Diploma (AQF 6)  
**Provider:** Tribeca

**Year:** 2005  
**Name:** Diploma of Financial Services (Financial Planning)  
**Type:** Diploma (AQF 5)

**Provider:** Tribeca

**Year:** 2003

**Name:** Bachelor of Commerce

**Type:** Bachelor Degree (AQF 7)

**Provider:** University of Ballarat

## Failure to meet CPD requirements

From January 1 2019, financial advisers are required to meet minimum continuing professional development requirements each year.

- No failure to meet CPD requirements recorded.

## Memberships

This section tells you if the adviser is a member of any professional bodies or industry associations relevant to providing financial services.

- Financial Advice Association of Australia (FAAA)